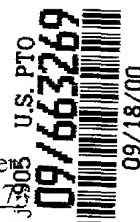




9-19-00

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Attorney's Docket No. 040071-17905

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY PATENT  
APPLICATION TRANSMITTAL LETTER

Box PATENT APPLICATION

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed for filing is the utility patent application of Johan NILSSON for Method and Apparatus for Setting Transmit Power Control Command Energy.

Also enclosed are:

- ☒ 2 sheet(s) of [ ] formal ☒ informal drawing(s);  
☒ An unexecuted declaration of the inventor(s) also is enclosed.  
☐ A bibliographic data entry sheet is enclosed.

The filing fee has been calculated as follows [ ] and in accordance with the enclosed preliminary amendment:

CLAIMS					
	NO. OF CLAIMS		EXTRA CLAIMS	RATE	FEE
Basic Application Fee (101)					\$ 690.00
Total Claims	22	MINUS 20 =	2	x \$18 = (103)	36.00
Independent Claims	2	MINUS 3 =		x \$78 = (102)	0.00
If multiple dependent claims are presented, add \$260.00 (104)					0.00
Total Application Fee					726.00
If verified statement claiming small entity status is enclosed, subtract 50% of Total Application Fee					0.00
Add Assignment Recording Fee of \$40.00 (581) if Assignment document is enclosed					0.00
<b>TOTAL APPLICATION FEE DUE</b>					<b>\$726.00</b>



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- ☐ This application is being filed without a filing fee. Issuance of a Notice to File Missing Parts of Application is respectfully requested.
- ☐ A check in the amount of \$ \_\_\_\_\_ is enclosed for the fee due.
- ☒ Charge \$ 726.00 to Deposit Account No. 02-4800 for the fee due.
- ☒ The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Please address all correspondence concerning the present application to:

Ronald L. Grudziecki, Esquire  
BURNS, DOANE, SWECKER & MATHIS, L.L.P.  
P.O. Box 1404  
Alexandria, Virginia 22313-1404.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(919) 941-9240

By: Michael G. Savage  
Michael G. Savage  
Registration No. 32,596

Date: September 18, 2000

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## METHOD AND APPARATUS FOR SETTING TRANSMIT POWER CONTROL COMMAND ENERGY

### BACKGROUND

5           This invention relates to a method and apparatus for transmit power control. In particular, this invention relates to a method and apparatus for setting the energy at which a transmit power control command is transmitted.

          Good transmit power control methods are important to communication systems having many simultaneous transmitters because such methods reduce the mutual interference of such transmitters. For example, transmit power control is necessary to obtain high system capacity in third generation communication systems that use wideband code division multiple access (W-CDMA). The goal of transmit power control is to use as low a transmission power as possible, thereby minimizing interference, while providing the desired quality. This is important for the uplink, i.e., for transmissions from a remote terminal to the network, e.g., a base station, as well as for the downlink, e.g., for transmissions from the network to the remote terminal.

          Power control is commonly provided by a closed-loop method. For example, for uplink power control, a base station determines whether the uplink power should be increased or decreased based, e.g., on measured uplink signal strength, and then transmits an appropriate power control command to the remote station at regular intervals, e.g., every 1.25 milliseconds. Based on the power control command, the remote station increases or decreases its uplink transmit power by a predetermined amount. The power control command is typically transmitted as a bit, the value of which determines whether the transmit power of the remote station should increase or decrease, e.g., by a specified step. For example, a "zero" power control bit transmitted by the base station may cause the remote station to increase its transmit power level by 1 dB, and a "one" power control bit transmitted by the base station may cause the remote station to decrease its transmit power level by 1 dB.

          The decision about which power control command to send to the remote terminal from the base station may be made by measuring the quality, e.g., the signal to interference ratio (SIR), of the received uplink signal and comparing the measured SIR with a reference SIR that represents an acceptable quality. If the measured SIR is lower than the reference SIR, the base station sends a command to increase the uplink transmit power to the remote terminal. If the measured SIR is above the reference SIR, the base station sends a command to decrease the uplink transmit power to the remote terminal. The remote terminal receives the command and

changes its uplink transmit power, accordingly. A detailed example of uplink power control is provided in the TIA/EIA/IS-95-A standard.

Similarly, for downlink transmit power control, the remote terminal measures the quality, e.g., the SIR, of the received downlink signal, and the remote terminal transmits a report of the measured SIR or a power control command to the network, e.g., to the base station that the remote terminal is communicating with. Based on such a report or command, the power level (and thus the SIR) of the downlink signal is appropriately controlled by the network, e.g., the base station or another network entity, such as a radio network controller (RNC).

In a W-CDMA system, such as the Third Generation Partnership Program (3GPP) system, time is split into slots. In each slot, both data and control information are transmitted. Control information may include, for example, known pilot symbols and transmit power control (TPC) commands. The TPC commands that are sent on the downlink are used for power control of transmission on the uplink, and the TPC commands that are sent on the uplink are used for power control of transmission on the downlink. The downlink TPC commands inform the remote terminal how to change its transmit power, and the uplink TPC commands inform the base station how to change its transmit power.

An example of a 3GPP slot structure is shown in FIG. 1. Each slot includes the following fields: Transport Format Combination Indicator (TFCI), Data 1, TPC, Data 2, and Pilot. The TFCI field includes information regarding how the data is coded. The Data fields include the data being transmitted. The TPC field contains one or more TPC commands used for controlling the transmit power. The Pilot field contains information used for synchronization. Each of these fields may contain one or more symbols, the number of symbols depending on the implementation. The symbols may be repetition coded, e.g., the symbols may be repeated to ensure correct transmission. More details of an exemplary slot structure are given in "Physical Channels and Mapping of Transport Channels onto Physical Channels (FDD)", Technical Specification No. 3G TS 25.211, ver. 3.2.0, 3GPP (Mar. 2000), herein incorporated by reference.

Conventionally, the transmit energy of the TPC command itself is not varied independently of the transmit power control. That is, there is currently no provision for individually setting the energy at which the TPC command is transmitted. The TPC command is transmitted at the same energy level that is set for transmission of other types of information. Thus, the TPC command transmit energy is not changed based on which command is sent or how important it is that the TPC command be received without transmission error.

If the measured SIR is very close to the reference SIR, it is not critical that the remote terminal receive commands from the network to increase or decrease the transmission power, because such commands would likely not affect the quality of the received signal. In such a case, the transmit energy of the TPC command could be decreased, without an adverse effect.

- 5 This would decrease interference, thus increasing the system capacity. On the other hand, if the SIR is far below the reference SIR, it could be very important that a command instructing the remote terminal to increase the transmit power reach the remote terminal without transmission error. Otherwise, the call could be dropped. If the measured SIR is far above the reference SIR, it could also be important that a command to decrease the transmit power reach the remote  
10 terminal correctly to keep the interference caused by the remote terminal as low as possible.

Thus, there is a need for setting the energy at which a TPC command is transmitted based on how important it is that the TPC command is correctly received.

### SUMMARY

- 15 It is therefore an object of the invention to set the energy at which a TPC command is transmitted based on how important it is that the TPC command is correctly received. It is yet another object of the invention to minimize interference caused by the transmission of TPC commands. It is yet another object of the invention to ensure that an important TPC command is received.

- 20 According to the invention, these and other objects are met by a method and apparatus for setting the energy at which a transmit power control command is transmitted. A determination is made how important it is that the TPC command is correctly received, and the energy at which the transmit power control command is set, based on this determination. The energy may be set by setting the power at which the TPC command is transmitted and/or by  
25 adjusting the coding of the TPC command.

- According to an exemplary embodiment, as an indication of how important it is that the TPC command is received, the difference between a measured quality, e.g., the SIR, of a received signal and a reference may be determined. The energy at which the TPC command is transmitted is set based on this difference. If the difference is determined to be substantially  
30 zero, the energy at which the TPC command is transmitted is decreased by an amount that is a function of the difference. If the difference is determined not to be substantially zero, the energy at which the TPC command is transmitted is increased by an amount that is a function of the difference. This technique may be used for uplink TPC commands or for downlink TPC commands. For uplink TPC commands, the technique is performed in the network, e.g., in a

base station. For downlink TPC commands, the technique is performed in, e.g., a remote terminal.

### **BRIEF DESCRIPTION OF THE DRAWINGS**

5 The features and objects of Applicant's invention will be understood by reading this description in conjunction with the drawings, in which:

FIG. 1 illustrates an exemplary 3GPP slot structure;

FIG. 2 illustrates an exemplary communication system in which the invention may be implemented;

10 FIG. 3 illustrates graphically how energy at which the TPC command is transmitted may be set according to an exemplary embodiment; and

FIG. 4 illustrates a method for setting the energy at which the TPC command is transmitted according to an exemplary embodiment.

### **DETAILED DESCRIPTION**

15 It should be understood that the following description, while indicating preferred embodiments of the invention, is given by way of illustration only since various changes and modifications within the scope of the invention will become apparent to those skilled in the art.

For ease of explanation, the following description is directed to uplink transmit power control. However, it will be appreciated that the technique is also applicable to downlink transmit power control.

20 According to exemplary embodiments, a method and apparatus are provided which enable the energy at which a TCP command is transmitted to be set. This may be understood by referring to FIG. 2, in which a remote station, e.g., a mobile station (MS) 100 or a fixed cellular station, is communicating with the network, e.g., one or more base stations (BS) 200. For uplink power control, the BS 200 measures the quality of the signal received from the MS 100, compares the measured quality with a reference representing an acceptable quality, and sends TPC commands to the MS 100, instructing the MS 100 to increase or decrease the uplink transmit power based on the comparison.

30 According to an exemplary embodiment, the energy at which the TPC command is transmitted may be adjusted, depending on how important it is that the MS 100 receives the TPC command correctly from the BS 200. If it is important that the TPC command be received, the energy at which the TPC command is transmitted may be increased. For example, the power at which the TPC command is transmitted may be increased and/or the coding of the TPC

command may be adjusted, e.g., the TPC command may be heavily repetition coded. If it is not crucial that the TPC command be received, the energy at which the TPC command is transmitted may be decreased, e.g., the power at which the TPC command is transmitted may be decreased and/or the coding of the TPC command may be reduced or eliminated.

5           According to an exemplary embodiment, an indication of how important it is that the TPC command be received may be given by comparing the received signal with a reference signal. For this purpose, the BS 100 measures the quality of the received uplink signal, e.g., the SIR, and compares the measured SIR with a reference SIR, and, based on the difference, adjusts the energy at which the TPC command is transmitted. If the difference is substantially zero, this  
10 indicates that the quality of the received signal is close to the desired quality and that changing the transmit power is not necessary. Thus, it is not important that the TPC command be correctly received. If the measured SIR is far below the reference, this indicates that the transmit power of the MS 100 must be increased to bring the quality of the uplink signal to an acceptable level. Thus, it is important that the TPC command instructing the MS 100 to  
15 increase the transmit power be received, so that the call is not dropped. If the measured SIR is far above the reference SIR, this means that the quality of the uplink signal is greater than necessary. Thus, it is important that the TPC command instructing the MS 100 to decrease the transmit power be received, so that interference is lowered.

FIG. 3 illustrates graphically how the energy at which the TPC command is transmitted  
20 may be set. In FIG. 3, the difference between the measured SIR and the reference SIR is represented as the SIR error, i.e.,

$$\text{SIR error} = \text{reference SIR} - \text{measured SIR}$$

For purposes of illustration, FIG. 3 illustrates how the power at which the TPC command is transmitted is set, depending on the SIR error. Although not shown, it will be appreciated that a  
25 similar function may be used for adjusting the coding of the TPC command, based on the SIR error.

As shown in FIG. 3, if the SIR error is low, e.g., less than the size of a 1 dB power step, the power at which the TPC command is transmitted is decreased by an amount that is a function of the difference. In such a case, the transmit power of the TPC command approaches  
30 zero. If the absolute value of the SIR error is high, e.g., greater than 1 dB, a higher transmit power is used to transmit the TPC command. The transmit power may be set as a function of the difference, approaching a maximum transmit power. The function may include one or more threshold values of SIR error at which the transmit power is increased or decreased by predetermined amounts.

FIG. 4 illustrates a method for setting the transmit power of the TPC command according to exemplary embodiments. The method begins by determining how important it is that the TPC command be received, e.g., by comparing the measured quality, e.g., SIR, with the reference, e.g., a reference SIR at step 400. At step 410, a determination is made whether the difference is substantially zero. If so, then at step 420, the energy at which the TPC command is decreased, e.g., the transmit power is decreased to approximately zero and/or the coding of TPC command is reduced or eliminated. If at step 410, the measured SIR is determined not to be substantially zero, then the energy at which the TPC command is transmitted is increased at step 430 by an amount that is a function of the difference, e.g., the transmit power is increased up to a maximum transmit power and/or the TPC command is heavily coded.

These steps may be performed in the network, e.g., in the BS 200, for uplink transmit power control. For downlink transmit power control, these steps may be performed in the remote terminal, e.g., the MS 100.

The invention being thus described, it will be obvious that the same may be varied in many ways. Such variations are not to be regarded as a departure from the spirit and scope of the invention, and all such modifications as would be obvious to one skilled in the art are intended to be included within the scope of the following claims.



What Is Claimed Is:

1. In a communication system including at least one base station and at least one remote station and employing transmit power control, a method for controlling the energy at which a transmit power control command is transmitted, the method comprising the steps of: determining how important it is that the transmit power control command is correctly received; and setting the energy at which the transmit power control command is transmitted based on this determination.
2. The method of claim 1, wherein the step of setting the energy comprises setting the power at which the transmit power control command is transmitted.
3. The method of claim 1, wherein the step of setting the energy comprises adjusting the coding of the transmit power control command.
4. The method of claim 1, wherein the step of determining how important it is that the transmit power control command be received comprises determining the difference between a measured quality of the received signal and a reference, wherein the difference indicates how important it is that the transmit power control command be received.
5. The method of claim 4, further comprising determining whether the difference is substantially zero.
6. The method of claim 5, wherein if the difference is substantially zero, the step of setting the energy includes decreasing the energy at which the transmit power control command is transmitted.
7. The method of claim 6, wherein the energy is decreased by an amount that is a function of the difference.
8. The method of claim 5, wherein if the difference is not substantially zero, the step of setting the energy includes increasing the energy which the transmit power control command is transmitted.

9. The method of claim 8, wherein the energy is increased by an amount that is a function of the difference.

10. The method of claim 1, wherein the transmit power control is performed for the uplink direction, and the steps are performed in a base station.

11. The method of claim 1, wherein the transmit power control is performed for the downlink direction, and the steps are performed in a remote terminal.

12. In a communication system including at least one remote station and at least one base station, an apparatus for controlling the energy at which a transmit power control command is transmitted, comprising:

means for determining how important it is that the transmit power control command is correctly received; and

means for setting the energy at which the transmit power control command is transmitted based on this determination.

13. The apparatus of claim 12, wherein the means for setting the energy sets the power at which the transmit power control command is transmitted.

14. The apparatus of claim 12, wherein the means for setting the energy adjusts the coding of the transmit power control command.

15. The apparatus of claim 12, wherein the means for determining how important it is that the transmit power control command is received determines the difference between the measured quality and a reference quality, the difference indicating how important it is that the transmit power control command be received.

16. The apparatus of claim 15, further comprising means for determining whether the difference is substantially zero.

17. The apparatus of claim 16, wherein if the difference is determined to be substantially zero, the energy at which the transmit power control command is transmitted is decreased.

18. The apparatus of claim 17, wherein the energy is decreased by an amount that is a function of the difference.

19. The apparatus of claim 16, wherein if the difference is not substantially zero, the energy at which the transmit power control command is transmitted is increased.

20. The apparatus of claim 19, wherein the energy is increased by an amount that is a function of the difference.

21. The apparatus of claim 12, wherein the transmit power control is performed for the uplink direction, and the apparatus is included in a base station.

22. The apparatus of claim 12, wherein the transmit power control is performed for the downlink direction, and the apparatus is included in a remote terminal.

### ABSTRACT

The energy at which a transmit power control is transmitted is set based on how important it is that the transmit power control command is received.. As an indication of how important it is that the transmit power control command is received, a difference between a measured quality, e.g., SIR, of a received signal and a reference may be determined. The energy at which the transmit power control command is transmitted may be set based on this difference. The energy of the transmit power control command may be set by adjusting the power at which the transmit power control command is transmitted and/or by adjusting the coding of the transmit power control command. If the difference is determined to be substantially zero, the energy at which the transmit power control command is transmitted is decreased, by an amount that is a function of the difference. If the difference is determined not to be substantially zero, the energy at which the transmit power control command is transmitted is increased by an amount that is a function of the difference. This technique may be used for uplink transmit power control commands or for downlink power control commands. For uplink transmit power control commands, the technique is performed in the network, e.g., in a base station. For downlink transmit power control commands, the technique is performed in, e.g., a remote terminal.



```

graph TD
    400[Determine Difference  
Between Measured  
Quality and Reference] --> 410{Is  
Difference  
≈ 0 ?}
    410 --> 420[Decrease energy  
at which TPC  
is transmitted]
    410 --> 430[Increase energy  
at which TPC  
is Transmitted]
    420 --> 430
  
```

FIG. 4

**COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY**  
(Includes Reference to Provisional and International (PCT) Applications)

ATTORNEY'S DOCKET NUMBER

040071-174

As a below named inventor, I hereby declare that:  
My residence, post office address and citizenship are as stated below next to my name;  
I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Method and Apparatus for Setting Transmit Power Control Command Energy

the specification of which (check only one item below):

☒ is attached hereto.

☐ was filed as United States application

Number \_\_\_\_\_

on \_\_\_\_\_

and was amended

on \_\_\_\_\_ (if applicable).

☐ was filed as PCT international application

Number \_\_\_\_\_

on \_\_\_\_\_

and was amended under PCT Article 19 and/or PCT Article 34

on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §§ 119 (a)-(e) of any foreign application(s) for patent or inventor's certificate or of any international (PCT) application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any international (PCT) application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

**PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. § 119:**

COUNTRY (if PCT, indicate "PCT")	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 U.S.C. § 119
			<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

\_\_\_\_\_  
(Application Number)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Application Number)

\_\_\_\_\_  
(Filing Date)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or international (PCT) application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose to the Office all information known to me to be material to the patentability as defined in Title 37, Code of Federal Regulations § 1.56, which became available between the filing date of the prior application(s) and the national or international (PCT) filing date of this application:

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U.S. APPLICATIONS			STATUS (check one)		
U.S. APPLICATION NUMBER	U.S. FILING DATE		PATENTED	PENDING	ABANDONED
PCT APPLICATIONS DESIGNATING THE U.S.					
PCT APPLICATION NO.	PCT FILING DATE	U.S. APPLICATION NUMBERS ASSIGNED (if any)			

I hereby appoint the following attorneys and agent(s) to prosecute said application and to transact all business in the Patent and Trademark Office connected therewith and to file, prosecute and to transact all business in connection with international applications directed to said invention:

William L. Mathis 17,337  
Robert S. Swecker 19,885  
Platon N. Mandros 22,124  
Benton S. Duffett, Jr. 22,030  
Norman H. Stepno 22,716  
Ronald L. Grudziecki 24,970  
Frederick G. Michaud, Jr. 26,003  
Alan E. Kopecki 25,813  
Regis E. Slutter 26,999  
Samuel C. Miller, III 27,360  
Robert G. Mukai 28,531  
George A. Hovanec, Jr. 28,223  
James A. LaBarre 28,632  
E. Joseph Gess 28,510  
R. Danny Huntington 27,903

Eric H. Weisblatt 30,505  
James W. Peterson 26,057  
Teresa Stanek Rea 30,427  
Robert E. Krebs 25,885  
William C. Rowland 30,888  
T. Gene Dillahunt 25,423  
Patrick C. Keane 32,858  
Bruce J. Boggs, Jr. 32,344  
William H. Benz 25,952  
Peter K. Skiff 31,917  
Richard J. McGrath 29,195  
Matthew L. Schneider 32,814  
Michael G. Savage 32,596  
Gerald F. Swiss 30,113  
Charles F. Wieland III 33,096

Bruce T. Wieder 33,815  
Todd R. Walters 34,040  
Ronni S. Jillions 31,979  
Harold R. Brown III 36,341  
Allen R. Baum 36,086  
Steven M. duBois 35,023  
Brian P. O'Shaughnessy 32,747  
Kenneth B. Leffler 36,075  
Fred W. Hathaway 32,236



**21839**

and: Stephen J. Tytran, Reg. No. 45,846 and Jed W. Caven, Reg. No. 40,551

Address all correspondence to:



**21839**

**Ronald L. Grudziecki, Esquire**  
**BURNS, DOANE, SWECKER & MATHIS, L.L.P.**  
P.O. Box 1404  
Alexandria, Virginia 22313-1404

Address all telephone calls to: Michael G. Savage at (919) 941-9240.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.



**COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (CONTINUED)**  
(Includes Reference to Provisional and International (PCT) Applications)

ATTORNEY'S DOCKET NO  
**040071-174**

FULL NAME OF SOLE OR FIRST INVENTOR		SIGNATURE		DATE
Johan NILSSON				
RESIDENCE		CITIZENSHIP		
Malmö, SWEDEN		SWEDISH		
POST OFFICE ADDRESS				
Nordlinds väg 94A, SE-217 73 MALMÖ, SWEDEN				
FULL NAME OF SECOND JOINT INVENTOR, IF ANY		SIGNATURE		DATE
RESIDENCE		CITIZENSHIP		
POST OFFICE ADDRESS				
FULL NAME OF THIRD JOINT INVENTOR, IF ANY		SIGNATURE		DATE
RESIDENCE		CITIZENSHIP		
POST OFFICE ADDRESS				
FULL NAME OF FOURTH JOINT INVENTOR, IF ANY		SIGNATURE		DATE
RESIDENCE		CITIZENSHIP		
POST OFFICE ADDRESS				
FULL NAME OF FIFTH JOINT INVENTOR, IF ANY		SIGNATURE		DATE
RESIDENCE		CITIZENSHIP		
POST OFFICE ADDRESS				
FULL NAME OF SIXTH JOINT INVENTOR, IF ANY		SIGNATURE		DATE
RESIDENCE		CITIZENSHIP		
POST OFFICE ADDRESS				
FULL NAME OF SEVENTH JOINT INVENTOR, IF ANY		SIGNATURE		DATE
RESIDENCE		CITIZENSHIP		
POST OFFICE ADDRESS				
FULL NAME OF EIGHTH JOINT INVENTOR, IF ANY		SIGNATURE		DATE
RESIDENCE		CITIZENSHIP		
POST OFFICE ADDRESS				
FULL NAME OF NINTH JOINT INVENTOR, IF ANY		SIGNATURE		DATE
RESIDENCE		CITIZENSHIP		
POST OFFICE ADDRESS				